

# EXHIBIT B

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Stephen R Goldenberg  
Mailing Address: 430 West Hopkins  
City: Aspen State: CO Zip: 81611  
Account No.: 1-CM391  
Taxpayer I.D. Number (Social Security No) 4768  
Redacted

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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**1. Claim for money balances as of December 11, 2008:**

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0.00
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0.00
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$ 0.00
- d. If balance is zero, insert "None." None

2. Claim for securities as of **December 11, 2008:**

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>✓</u>	<u>        </u>
b. I owe the Broker securities	<u>        </u>	<u>✓</u>
c. If yes to either, please list below:		

		Number of Shares or Face Amount of Bonds	
Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
See Nov. 30, 2008 1-CM391 statements, attached hereto as Exhibit A.			
\$6,327,521.86	(market value of securities long, per 1-CM391-3 statement)		
221,100.00	(market value of securities long, per 1-CM391-4 statement)		
(312,220.00)	(market value of securities short, per 1-CM391-4 statement)		
Total	\$6,236,401.86		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____✓    |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____✓    |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____✓    |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____✓    |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____✓    |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____✓    |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker.  | _____      | _____✓    |

Please list the full name and address of anyone assisting you in the preparation of this claim form: Joshua Keller, Esq.,  
Milberg LLP, One Pennsylvania Plaza, New York, NY 10119

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

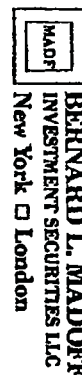
Date 6/19/09 Signature Steph R. Gubler  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,  
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

## **Exhibit A**



BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

STEPHEN R. GOLDENBERG

430 W HOPKINS  
ASPEN

CO 81611

YOUR ACCOUNT NUMBER  
1-CM391-3-0

PERIOD ENDING  
11/30/08

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YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*4768

Affiliated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	3,484		2405	BALANCE FORWARD		409,949.51	
11/12	2,278		2907	WAL-MART STORES INC	55.830	194,650.72	
11/12	8,442		6731	INTERNATIONAL BUSINESS MACHS	87.270	198,892.06	
11/12	9,246		7233	EXXON MOBIL CORP	72.880	615,589.96	
11/12	4,422		1353	INTEL CORP	14.510	134,528.46	
11/12	6,030		1585	JOHNSON & JOHNSON	29.580	204,631.10	
11/12	3,216		20210	JP MORGAN CHASE & CO	58.530	232,576.90	
11/12	1,876		24536	COCA COLA CO	44.660	143,754.56	
11/12	3,484		2882	MCDONALDS CORP	57.370	103,959.12	
11/12	12,930		2186	NIKE INC	29.550	298,881.20	
11/12	6,432		37524	ORACLE CORPORATION	21.810	278,150.80	
11/12	2,546		50492	PEPSICO INC	17.300	111,550.60	
11/12	1,774		50821	APPLE INC	84.410	143,720.86	
11/12	10,854		54818	Pfizer Inc	100.780	148,580.72	
11/12	2,546		55320	ABBOTT LABORATORIES	108.940	184,580.76	
11/12	4,824		59144	PROCTER & GAMBLE CO	54.610	139,138.06	
11/12	1,742		59616	AMGEN INC	66.080	309,213.92	
11/12	3,350		63470	PHILIP MORRIS INTERNATIONAL	59.160	103,125.72	
11/12	8,040		63972	BANK OF AMERICA	43.800	146,194.00	
11/12	2,680		67796	QUALCOMM INC	21.590	173,904.60	
11/12	8,710		68298	UNIT GROUP INC	33.770	90,610.60	
11/12	2,010		72122	SCHENBERGER LTD	12.510	109,210.10	
11/12	4,824		72624	COMCAST CORP	49.480	99,534.80	
11/12				CL A	16.510	79,836.24	

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

CONTINUED ON PAGE 2

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
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STEPHEN R GOLDENBERG

430 W HOPKINS  
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Madoff Securities International Limited  
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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT DEBITED TO YOUR ACCOUNT
11/12	9,514		76448	AT&T INC	27	251,258.00	
11/12	2,412		76950	CONOCOPHILIPS	52.510	126,750.12	
11/12	1,608		80774	UNITED PARCEL SVC INC	52.040	83,764.32	
11/12	9,782		81276	CLASS B	16.730	164,043.86	
11/12	2,814		85190	CISCO SYSTEMS INC	29.530	83,209.42	
11/12	3,350		85502	U.S. BANCORP	43.720	146,424.20	
11/12	1,608		89426	GENERAL ELECTRIC CO	53.160	85,565.28	
11/12	17,018		89928	VERIZON COMMUNICATIONS	19.630	334,743.34	
11/12	4,556		93752	VERIZON COMMUNICATIONS	30.410	138,729.96	
11/12	402		94251	VERIZON COMMUNICATIONS	37.400	15,975.00	
11/12	5,628		98078	WELLS FARGO BANK NEW	29.800	167,939.40	
11/12	4,020		98580	HEWLETT PACKARD CO	34.900	140,458.00	
11/12		50,000	19776	U.S. TREASURY BILL	99.942		49,971.00
11/12		6,050,000	20691	U.S. TREASURY BILL	99.936		6,046,128.00
11/12				U.S. TREASURY MONEY MARKET	DIV		12.11
11/12		21,684	15789	FIDELITY SPARTAN	1		21,684.00
11/12			25158	FIDELITY SPARTAN	1		
11/12	22,064			U.S. TREASURY MONEY MARKET		22,064.00	

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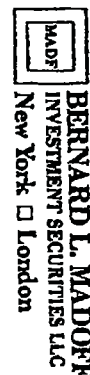
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12 Berkeley Street  
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DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	DIV		2.71
11/19		22,084	50418	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1		22,064.00
11/19	400,000		55052	U.S. TREASURY BILL DUE 03/26/2009	99.926	399,704.00	
11/19	23,827		59457	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET NEW DRAVAGE	1	23,827.00	
	9,514			SECURITY POSITIONS	MKT PRICE		
	2,548			ABBOTT LABORATORIES	22.390		
	1,742			AMGEN INC.	55.540		
	1,674			APPLE INC.	92.670		
	8,040			BANK OF AMERICA	16.250		
	3,350			CHEVRON CORP.	19.010		
	9,782			CISCO SYSTEMS INC	16.540		
	8,710			CITI GROUP INC	8.290		
	3,216			GOOG GOGL CO	68.870		
	4,824			COMCAST CORP	17.340		
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	2,412			CONOCOPHILLIPS	52.520		
	8,442			EXXON MOBIL CORP	80.150		
	17,018			GENERAL ELECTRIC CO	17.170		
	402			GOOGLE	292.960		
	4,020			HEWLETT PACKARD CO	35.280		
	9,246			INTEL CORP	12.800		
	2,278			INTERNATIONAL BUSINESS MACHS	31.600		
	6,030			JPMORGAN CHASE & CO	51.680		
	4,422			JOHNSON & JOHNSON	58.580		
	1,876			MCDONALDS CORP	59.750		
	3,484			NEORX INC	20.120		
	12,730			MICROSOFT CORP	20.220		
	6,432			ORACLE CORPORATION	16.090		
	2,546			PEPSICO INC	56.700		
	10,854			PROCTER & GAMBLE CO	42.160		
	3,320			QUALCOMM INC	64.350		
	4,824			SCHONBERGER LTD	33.520		
	2,680			FIDELITY SPARTAN	50.740		
	2,010			U S TREASURY MONEY MARKET	1		
	23,827			U S BANCORP	26.980		
	2,814			UNITED PARCEL SVC INC	37.600		
	1,608			CLASS B			
	400,000			U S TREASURY BILL	99.971		
				DUE 03/26/2009			
				2/26/2009			
				CONTINUED ON PAGE 5			

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New York, NY 10022  
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1-800-391-3000

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**MADOFF**  
**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
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Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

DATE	BOUGHT RECEIVED OR LONG	SOLD BALANCED OR SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12		134	41840	S & P 100 INDEX NOVEMBER 450 CALL	15.800	238,654.00	409,950.00
11/12	134		46166	S & P 100 INDEX NOVEMBER 450 PUT	17.800		211,586.90
11/19		134	32271	S & P 100 INDEX DECEMBER 420 CALL	25.00	402,134.00	348,266.90
11/19	134		36596	S & P 100 INDEX DECEMBER 420 PUT	30		495,666.00
11/19		134	45246	NOVEMBER 450 PUT NEW BALANCE	37		784,346.90
	134			SECURITY POSITIONS S & P 100 INDEX DECEMBER 420 CALL S & P 100 INDEX DECEMBER 420 PUT MARKET VALUE OF SECURITIES LONG 221,100.00 SHORT 312,220.00	16.500		

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

## **Exhibit B**



**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and any additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
4. The Claimant hereby requests that the Claim Form additionally be considered as a proof of claim, as a general creditor or otherwise, in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.

8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.
10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. To the extent the BMIS account for which this Claim Form is submitted contains or purports to contain funds transferred from a "predecessor" or other BMIS account, the Claimant reserves all rights, claims, and defenses as to any such predecessor or other BMIS account. To the extent necessary or appropriate, this Claim Form is likewise submitted as a claim for and on behalf of any such predecessor or other BMIS account.
13. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary. Below is a list of documents submitted herewith:

BMIS Account Statements dated 9/30/08 and 10/31/08.



**Affiliated with**  
**Madoff Securities International Limited**  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

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**PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES**

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

865 Third Avenue  
New York, NY 10022  
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DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TIN	DESCRIPTION	PRICE OR DIVISION	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/11	6,210		9166	MICROSOFT CORP DIV 8/21/08 9/11/08 FIDELITY SP/RIAN U.S. TREASURY MONEY MARKET MCDONALDS CORP DIV 9/02/08 9/16/08 ORACLE CORPORATION ARCELORMITTAL PEPSICO INC ABBOTT LABORATORIES PILZER INC AMERICAN INTL GROUP INC PROCTER & GAMBLE CO AMGEN INC BANK OF AMERICA QUALCOMM INC CITI GROUP INC SCHLUMBERGER LTD GONGGPHILIPS AT&T INC CISCO SYSTEMS INC UNITED PARCEL SVC INC GLASS B CHEVRON CORP	DIV DIV	6,210.00	1,197.90 577.50
9/11							103,865.90
9/11							162,383.70
9/11							159,324.00
9/11							123,143.40
9/11							169,277.40
9/11							55,357.70
9/11							304,053.40
9/11							97,451.80
9/11							154,812.20
9/11							214,170.60
9/11							106,370.00
9/11							137,986.80
9/11							143,830.50
9/11							151,462.90
9/11							261,919.20
9/11							167,302.00
9/11							96,982.80
9/11	2,750	43292		CHEVRON CORP	83.210		228,717.50

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INVESTMENT SECURITIES LLC  
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DATE	BOUGHT RECEIVED ON LONG	FOUD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/17		1,320	46416	UNITED TECHNOLOGIES CORP	63.720		84,058.40
9/17		13,750	47590	GENERAL ELECTRIC CO	27.440		376,750.00
9/17		3,960	50665	VERIZON COMMUNICATIONS	24.570		136,739.20
9/17		330	51888	GIGGLE	432.200		142,613.00
9/17		4,730	54963	WELLS FARGO & CO NEW	33.390		157,745.70
9/17		550	56186	GOLDMAN SACHS GROUP INC	154.700		85,063.00
9/17		3,180	59261	WALMART STORES INC	62.470		199,152.30
9/17		3,410	60484	HEWLETT-PACKARD CO	46.880		159,724.80
9/17		7,150	63559	EXXON MOBIL CORP	76.080		543,686.00
9/17		1,870	64782	INTERNATIONAL BUSINESS MACHS	118.800		222,082.00
9/17		4,920	65089	AMER. INTL. GROUP INC	29.970		157,186.40
9/17		3,850	73578	JOHNSON & JOHNSON	71.150		273,773.50
9/17		4,840	77676	J.P. MORGAN CHASE & CO	40.930		197,908.20
9/17		2,750	81973	COCA COLA CO	53.790		147,812.50
9/17		1,540	86271	MODERNS CORP	67.630		99,161.20
9/17		2,970	90589	HEXCEL CORP	34.180		101,937.20
9/17		10,890	94867	MICROSOFT CORP	27.420		298,168.80
9/17	6,500,000		82077	U.S. TREASURY BILL DUE 2/15/2009	99.511	6,468,215.00	
9/17	3,699		86375	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	3,699.00	
9/19				AMERICAN INTL GROUP INC DIV. 9/05/08 9/19/08	DIV		798.60
9/26				BANK OF AMERICA DIV. 9/05/08 9/26/08	DIV		4,153.60
				CONTINUED ON PAGE 4			

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**BERNARD L. MADOFF**  
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STEPHEN R GOLDENBERG

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CD 81611

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YOUR TAX PAYER IDENTIFICATION NUMBER  
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PERIOD ENDING  
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4

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DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRF	DESCRIPTION	PRICE ON 9/30/08	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/26				QUALCOMM INC DIV 8/29/08 9/26/08	DIV		352.00
9/30				REPSICO INC DIV 9/05/08 9/30/08	DIV		935.00
9/30	13,397		94208	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	13,397.00	
				NEW BALANCE		409,949.38	
	68,791			SECURITY POSITIONS FIDELITY SPARTAN U S TREASURY MONEY MARKET	MKT PRICE		
	50,000			U S TREASURY BILL DUE 12/18/2008	99.941		
	6,500,000			U S TREASURY BILL DUE 2/12/2009	99.941		
				2/12/2009			
				MARKET VALUE OF SECURITIES LONG			
				SHORT			
				6,593,411.50			

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43,420-64  
33,953,672-32

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DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TIN	DESCRIPTION	PRICE ON SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/10	110		71437	BALANCE FORWARD S & P 100 INDEX SEPTEMBER 600 CALL	1.050	11,660.00	155,740.00
9/11		110	75962	S & P 100 INDEX SEPTEMBER 600 CALL	5.100		55,990.00
9/17	110		3464	SEPTEMBER 600 CALL S & P 100 INDEX	1.900	19,010.00	
9/17		110	99165	SEPTEMBER 590 PUT S & P 100 INDEX	20		219,890.00
				NEW BALANCE			409,950.00

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DATE	BOUGHT RECEIVED ON LONG	SAID RECEIVED ON SHORT	LN	DESCRIPTION	PRICE ON AVERAGE	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
10/01				BALANCE FORWARD		409,949.38	1,045.00
10/01				GGCA SOLA - GD DIV 9/15/08-10/01/08	DIV		272.80
10/01				HEWLETT PACKARD - CG DIV 9/19/08-10/01/08	DIV		1,128.60
10/01				RESERVOIR - GD DIV 9/05/08-10/01/08	DIV		36.07
10/02				CHECK WIRE	CM	500,000.00	
10/02				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	DIV		68,791.00
10/02				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET			448,519.50
10/02				2/12/2009			
10/02				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET			
10/02				SCHEUBERGER - END	DIV		346.50
10/03				DIV 9/03/08-10/03/08	DIV		1,544.40
10/10				PHILLIP MORRIS INTERNATIONAL DIV 9/5/08-10/10/08	DIV		
10/31				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET			
				CONTINUED ON PAGE 2			

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DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	IN	DESCRIPTION	PRICE OR BY BOOK 1	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	21,684			NEW BALANCE SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE	409,949.51	
	50,000			U.S. TREASURY MONEY MARKET U.S. TREASURY BILL 12/18/2008	99.823		
	6,050,000			U.S. TREASURY BILL DOE 2/12/2009 2/12/2009	99.879		
				MARKET VALUE OF SECURITIES LONG 67147325.00			
				SHORT			

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**430 W HOPKINS**  
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**10/31/08**

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DATE	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	YEAR-TO-DATE SUMMARY			
	GRAND TOTALS			47,794.01
	GRAND TOTALS FROM SALES			34,402,191.82

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